

Prophecy Variables in Separation Logic

(Extending Iris with Prophecy Variables)

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Reasoning about the correctness of a program

Forward reasoning is often easier and more natural:

- Start at the beginning of a program's execution
- Reason about how it behaves as it executes

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Reasoning about the current execution step may require:

- Information about past events (this is usual)
- Knowledge of what will happen later in the execution

Remember the past, know the future

Auxiliary/ghost variables store information not present in the program's physical state

History variables [Owicki & Gries 1976] (past):

- Record what happened in the execution so far
- Introduced in the context of Hoare logic
- Widely used (modern form: user-defined ghost state)

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Prophecy variables [*Abadi & Lamport 1991*] (future):

- Predict what will happen later in the execution
- Introduced in the context of state machine refinement
- Fairly exotic, (almost) never used for Hoare logic

Motivating example: eager specification


Let us look at a simple coin implementation:

```
new_coin()  $\triangleq$  {val = ref(nondet_bool())}  
read_coin(c)  $\triangleq$  !c.val
```

Motivating example: eager specification

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Used for the sake of presentation

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We consider an “eager” coin specification:

- A coin is only ever tossed once
- Reading its value always gives the same result

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$\{\text{True}\} \text{new_coin}() \{c. \exists b. \text{Coin}(c, b)\}$
 $\{\text{Coin}(c, b)\} \text{read_coin}(c) \{x. x = b \wedge \text{Coin}(c, b)\}$

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`{True} new_coin() {c. $\exists b$. Coin(c, b)}`
`{Coin(c, b)} read_coin(c) {x. $x = b \wedge$ Coin(c, b)}`

`Coin(c, b) \triangleq c.val \mapsto b`

Motivating example: lazy implementation

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“Lazy” coin implementation:

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new_coin()  $\triangleq$  {val = ref(None)}  
read_coin(c)  $\triangleq$  match !c.val with  
    Some(b)  $\Rightarrow$  b  
  | None     $\Rightarrow$  let b = nondet_bool();  
                c.val  $\leftarrow$  Some(b); b  
end
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To keep the same spec we need prophecy variables!!!

Prior work on prophecy variables

Prophecy variables have been used in:

- Verification tools based on reduction [Sezgin et al. 2010]
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Only two previous attempts:

- Vafeiadis's thesis [Vafeiadis 2007] (informal and flawed)
- Structural approach [Zhang et al. 2012] (too limited)

Our contribution: prophecy variables in Hoare logic


We are the first to give a formal account of prophecy variables in Hoare logic!

- Our results are all formalized in the Iris framework
- We also extended VeriFast with prophecy variables
- Useful to prove logical atomicity (RDCSS, HW Queue)

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Presented this morning by Ralf

Prophecies help in case of “future-dependent” LP

Key idea of our approach

We leverage separation logic to easily ensure soundness!!!

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The high-level idea is to use new instruction for:

- Predicting a future observation (`let p = NewProph`)
- Realizing such an observation (`Resolve p to v`)

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We leverage separation logic to easily ensure soundness!!!

Principles of prophecy variables in separation logic:

1. The future is ours

- We model the right to resolve a prophecy as a resource
- $\text{Proph}_1^{\mathbb{B}}(p, b)$ gives exclusive right to resolve p

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“Assign a value to”

2. We must fulfill our destiny

- A prophecy can only be resolved to the predicted value
- A contradiction can be derived if that is not the case

“One-shot” prophecy variable specification

Prophecy variables are manipulated using ghost code

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$\{\text{True}\}$

NewProph

(Creates a one-shot prophecy variable p)

$\{p. \exists b. \text{Proph}_1^{\mathbb{B}}(p, b)\}$

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But we learn that the prophesied and resolved values are equal

Back to the lazy coin example

With the required ghost code the example becomes:

```
new_coin()  $\triangleq$  {val = ref(None), p = NewProph}  
read_coin(c)  $\triangleq$  match !c.val with  
    Some(b)  $\Rightarrow$  b  
  | None     $\Rightarrow$  let b = nondet_bool();  
                Resolve c.p to b;  
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The specification can be proved using:

$$\text{Coin}(c, b) \triangleq (c.\text{val} \mapsto \text{Some } b) \vee \\ (c.\text{val} \mapsto \text{None} * \text{Proph}_1^{\mathbb{B}}(c.p, b))$$

Is the one-shot prophecy mechanism general enough?

Consider the following coin implementation:

`new_coin()` \triangleq `{val = ref(nondet_bool())}`

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`toss_coin(c)` \triangleq `c.val \leftarrow nondet_bool();`

Is the one-shot prophecy mechanism general enough?

Consider the following coin implementation:

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`read_coin(c)` \triangleq !`c.val`

`toss_coin(c)` \triangleq `c.val` \leftarrow `nondet_bool()`;

What if we want a “clairvoyant” specification?

{`True`} `new_coin()` {`c`. $\exists bs$. `Coin(c, bs)`}

{`Coin(c, bs)`} `read_coin(c)` {`b`. $\exists bs'$. `bs` = `b` :: `bs'` \wedge `Coin(c, bs)`}

{`Coin(c, bs)`} `toss_coin(c)` { $\exists b, bs'$. `bs` = `b` :: `bs'` \wedge `Coin(c, bs')`}

One shot is not enough

Generalization: prophecy a sequence of resolutions!

$\{\text{True}\}$

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Prophecy assertion now holds a list



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$\{\text{Proph}^{\mathbb{B}}(p, bs)\}$

Resolve p to v

$\{\exists bs'. bs = v :: bs' \wedge \text{Proph}^{\mathbb{B}}(p, bs')\}$

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Resolving just pops one element

One-shot prophecies can be encoded easily

Back to the clairvoyant coin example

Clairvoyant coin implementation:

$$\text{new_coin}() \triangleq \text{let } v = \text{ref}(\text{nondet_bool}());$$
$$\{val = v, p = \text{NewProp}\}$$
$$\text{read_coin}(c) \triangleq !c.val$$
$$\text{toss_coin}(c) \triangleq \text{let } r = \text{nondet_bool}();$$

Resolve $c.p$ to r ;

$$c.val \leftarrow r$$

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 $c.val \leftarrow r$

The specification can be proved using:

$$\begin{aligned} \text{Coin}(c, bs) \triangleq \exists b, bs'. c.val \mapsto b \wedge \text{Proph}^{\mathbb{B}}(p, bs') \\ \wedge bs = b :: bs' \end{aligned}$$

A glimpse at the model of weakest pre

Modified model of weakest preconditions (simplified):

$$\begin{aligned} \text{wp } e_1 \{ \Phi \} &\triangleq \text{if } e_1 \in \text{Val} \text{ then } \Phi(e_1) \text{ else} && \underline{\text{(return value)}} \\ &\quad \forall \sigma_1, \vec{\kappa}_1, \vec{\kappa}_2. S(\sigma_1, \vec{\kappa}_1 \uparrow \vec{\kappa}_2) \equiv * \\ &\quad \text{reducible}(e_1, \sigma_1) \wedge && \underline{\text{(progress)}} \\ &\quad \left. \begin{aligned} &\quad \forall e_2, \sigma_2, \vec{e}_f. ((e_1, \sigma_1) \rightarrow (e_2, \sigma_2, \vec{e}_f, \vec{\kappa}_1)) \equiv * \\ &\quad S(\sigma_2, \vec{\kappa}_2) * \text{wp } e_2 \{ \Phi \} * *_{e \in \vec{e}_f} \text{wp } e \{ \text{True} \} \end{aligned} \right\} && \underline{\text{(preservation)}} \end{aligned}$$
$$\begin{aligned} S(\sigma, \vec{\kappa}) &\triangleq [\bullet \sigma.1]^{\gamma_{\text{HEAP}}} * \exists \Pi. [\bullet \Pi]^{\gamma_{\text{PROPH}}} \wedge \text{dom}(\Pi) = \sigma.2 \wedge && \underline{\text{(state interp.)}} \\ &\quad \forall \{p \leftarrow vs\} \in \Pi. vs = \text{filter}(p, \vec{\kappa}) \end{aligned}$$

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Reduction now collects
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 \end{aligned}$$

Observations yet
to be made

Reduction now collects
“observations”

Wrapping up!

Iris now has support for prophecy variables:

- First formal integration into a program logic
- Useful for logically atomic specifications (Ralf's talk)
- But that's not the only application (see François's talk)

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Things there was no time for:

- Atomic resolution of prophecy variables
- Logically atomic spec for RDCSS and Herlihy-Wing queue
- Erasure theorem (elimination of ghost code)

Wrapping up!

Iris now has support for prophecy variables:



- Erasure theorem (elimination of ghost code)

Thanks! Questions?

(For more details: <https://iris-project.org>)

Model of weakest preconditions in Iris

Encoding of weakest preconditions (simplified):

$$\begin{aligned} \text{wp } e_1 \{ \Phi \} &\triangleq \text{if } e_1 \in \text{Val} \text{ then } \Phi(e_1) \text{ else} && \text{(return value)} \\ &\quad \forall \sigma_1. S(\sigma_1) \Rightarrow * \\ &\quad \text{reducible}(e_1, \sigma_1) \wedge && \text{(progress)} \\ &\quad \forall e_2, \sigma_2, \vec{e}_f. ((e_1, \sigma_1) \rightarrow (e_2, \sigma_2, \vec{e}_f)) \Rightarrow * \\ &\quad S(\sigma_2) * \text{wp } e_2 \{ \Phi \} * *_{e \in \vec{e}_f} \text{wp } e \{ \text{True} \} && \left. \vphantom{\forall e_2, \sigma_2, \vec{e}_f.} \right\} \text{(preservation)} \\ S(\sigma) &\triangleq \left[\begin{array}{c} \bullet \sigma \end{array} \right]^{\gamma_{\text{HEAP}}} && \text{(state interp.)} \end{aligned}$$

Some intuitions about the involved components:

- The state interpretation holds the state of the physical heap
- View shifts $P \Rightarrow * Q$ allow updates to owned resources
- The actual definition uses the $\triangleright P$ modality to avoid circularity

Operational semantics: head reduction and observations

We extend reduction rules with observations:

$$\begin{aligned}(\overline{n} + \overline{m}, \sigma) &\rightarrow_h (\overline{n + m}, \sigma, \epsilon, \epsilon) \\(\text{ref}(v), \sigma) &\rightarrow_h (\ell, \sigma \uplus \{\ell \leftarrow v\}, \epsilon, \epsilon) \\(\ell \leftarrow w, \sigma \uplus \{\ell \leftarrow v\}) &\rightarrow_h (\ell, \sigma \uplus \{\ell \leftarrow w\}, \epsilon, \epsilon) \\(\text{fork } \{e\}, \sigma) &\rightarrow_h ((), \sigma, e :: \epsilon, \epsilon) \\(\text{Resolve } p \text{ to } v, \sigma) &\rightarrow_h ((), \sigma, \epsilon, (p, v) :: \epsilon) \\(\text{NewPropH}, \sigma) &\rightarrow_h (p, \sigma \uplus \{p\}, \epsilon, \epsilon)\end{aligned}$$

A couple of remarks:

- Observations are only recorded on resolutions
- State σ now records the prophecy variables in scope

Extension for prophecy variables

Encoding of weakest preconditions (simplified):

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Some more intuitions about the involved components:

- State interpretation: holds observations yet to be made
- Observations are removed from the list when taking steps

Statement of safety and adequacy

Safety with respect to a (pure) predicate:

$$Safe_{\phi}(e_1) \triangleq \forall \vec{e}\vec{s}, \sigma, \vec{\kappa}. ([e_1], \emptyset) \rightarrow_{tp}^* (e_2 :: \vec{e}\vec{s}, \sigma, \vec{\kappa})$$

$$\Rightarrow proper_{\phi}(e_2, \sigma) \wedge \forall e \in \vec{e}\vec{s}. proper_{True}(e, \sigma)$$

$$proper_{\psi}(e, \sigma) \triangleq (e \in Val \wedge \psi(e)) \vee reducible(e, \sigma)$$

Theorem (adequacy). Let e be an expression and ϕ be a (pure) predicate. If $wp\ e\ \{\phi\}$ is provable then $Safe_{\phi}(e)$.